IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application No. 09/100,100

Applicant: James E. Ross, Jr. et al.

Filed: June 19, 1998

1°C/AU: 3628

Examiner: Poinvil, Frantzy

Docket No.: 204920

Customer No.: 23460

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF DR. JAMES ROSS IN SUPPORT OF A SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

- I, the undersigned, hereby declare the following:
- 1. I am one of the named inventors of the above-captioned patent application.
- 2. This declaration is intended to supplement the information provided in the Declaration of the Inventors submitted to the USPTO on December 18, 2000, and the Declaration of Frank T. Carroll, Jr. submitted to the USPTO on June 29, 2001, relating to the experimental testing of a system incorporating the claimed invention at Southwest General Hospital during the summer of 1995.
- 3. I have personal knowledge regarding circumstances and facts concerning efforts to obtain the consent of Southwest General Hospital to volunteer its hospital emergency room as a test site for a software system (TeleMed) that incorporated the claimed invention. In particular, I have personal knowledge of the circumstances associated with the Beta Test and License Agreement (hereinafter Agreement submitted herewith) signed by

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me on November 17, 1994, and then forwarded for execution by Southwest General Hospital's CEO.

- of my invention on my own. My intention in pursuing/entering the Agreement with Southwest General Hospital was experimental in nature to verify that the invention, as embodied in the TeleMed system, could be carried out in its intended environment. By entering into the Agreement and having the prototype/experimental Telemed system operated at Southwest General Hospital, I hoped to confirm that the automatic transcription feature (the subject of the recited invention), as well as other novel features of the Telemed system, could be carried out in a medical services environment. Prior to installing the Telemed system at Southwest General Hospital pursuant to the Beta Test and License Agreement, the invention had not been operated in such an environment.
- 5. Pursuant to the Agreement (see, Exhibit A of the Agreement) provided in the Supplemental IDS submitted herewith, my company provided at no cost to Southwest General Hospital, all the software, hardware and training needed to carry out the beta testing of the Telemed system.
- 6. Pursuant to Section 4 of the Agreement, Southwest General Hospital provided feedback in the form of weekly meetings to prepare outlines of Beta Test activities and to discuss the test results. Southwest General Hospital was also required to collect test data, comments on usability and bug reports. Copies of the forms used to report comments and errors uncovered during Beta testing are attached hereto as Attachment A. There were literally hundreds of such reports rendered by Southwest General Hospital pursuant to the agreement.

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- 7. The Telemed software was not yet ready to be tested at the time the Agreement was executed in November 1994. As such there are no delivery schedules listed in the agreement. As stated in Mr. Carroll's previously submitted declaration, the Telemed system was not operated at Southwest General Hospital until mid-July of 1995.
- 8. There were no price lists or price quotations associated with the Agreement.
- 9. It has been my understanding, throughout the period of pursuing patents on the inventions disclosed in the present application as well as any related applications, that the activities related to pursuing and obtaining a beta test agreement with Southwest General Hospital, which occurred more than one year prior to the filing date of the parent of this divisional application (filed on July 6, 1996) did not affect the patentability of the inventions, and thus the activities relating to pursuing and entering the Agreement (described herein above) are not material to examination of the present application.
- 10. In view of a Federal Circuit opinion (see, GFI Inc. v. Franklin Corp., 265 F.3d 1268, 60 U.S.P.Q.2d 1141 (Fed.Cir. 2001)), that encourages placing the decision-making power/responsibility regarding materiality of a reference within the hands of the U.S. Patent and Trademark Office, I am submitting a copy of the Agreement as well as this declaration of facts relating to the Agreement with Southwest General Hospital.

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11. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: NOUCHWED 25, 2005

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ATTACHMENT A



Emergency Department Patlent Documentation Systems

Suggestion

	Date _		/95
Section Suggestion Applies	To (if applicable)		
LI Patient Tracking	☐ Test Requests		☐ Historical Consultatio
C) Reports	Diagnostic Proc	edures	Historical Referrals
C) Triage	☐ Therapeutics		☐ Historical Dr. Interval
☐ Complaint	☐ Medications in E	ER .	Phone Directory
"Differential Diagnosis	□ Prescriptions :	. –	🗅 Department Clerk
Ü Diagnosis	☐ Consultations		☐ Find Previous Visits
☐ Nursing Assessment	☐ Refemals		☐ Restore Current Visit
CI Review of Systems	☐ Print Medical Re	ecord	Patient instructions
☐ Allergies	Summary		☐ Work Excuse
☐ Past Medical History	☐ Historical Vital S	engins	☐ School Excuse
☐ Family History	☐ Historical Nurse	Notes	🗅 Patient Questionnaire
□ Social History		-	☐ Paţient Info
ப் Vital Signs	□ EKG Results		☐ Employer/Relative
☐ Nurse Notes	CI Dictated Transc	-	 Admission/Discharge
🗅 Lab Requests	☐ Historical Thera	-	Other
□ Radiology Requests	U Historical Pres.	Meds	
Suggestion			
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Implemented NMO F	or V2.0 Comment		



- Emergency Department Palient Documentation Systems

Bug Report

Section Bug Occurred In U Patient Tracking U Reports U Triage U Complaint U Differential Diagnosis U Diagnosis U Review of Systems U Allergies	Date Diagnostic Procedures Therapeutics Medications in ER Prescriptions Consultations	— □ Historical Referrals □ Historical Dr. Interval □ Phone Directory □ Department Clerk
☐ Patient Tracking ☐ Reports ☐ Triage ☐ Complaint ☐ Differential Diagnosis ☐ Diagnosis ☐ Review of Systems ☐ Allergies	☐ Therapeutics ☐ Medications in ER ☐ Prescriptions ☐ Consultations	☐ Historical Dr. Interval ☐ Phone Directory ☐ Department Clerk
U Reports U Triage □ Complaint □ Differential Diagnosis □ Diagnosis □ Review of Systems U Allergies	☐ Therapeutics ☐ Medications in ER ☐ Prescriptions ☐ Consultations	☐ Historical Dr. Interval ☐ Phone Directory ☐ Department Clerk
U Triage Complaint Differential Diagnosis Diagnosis Review of Systems Li Allergies	☐ Medications in ER ☐ Prescriptions ☐ Consultations	☐ Phone Directory☐ Department Clerk
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•	□ Print Medical Record	□ Patient Instructions
	☐ Summary -	O Work Excuse
□ Past Madical History	☐ Historical Vital Signs	☐ School Excuse
□ Family History	☐ Historical Nurse Notes	D Patient Questionnaire
□ Social History	🔾 Lab Results	☐ Patient Info
⊔ Vital Signs	□ EKG Results	☐ Employer/Relative
🗅 Nurse Notes	O Dictated Transcripts	☐ Admission/Discharge
Cl Lab Requests	Historical Therapeutics	Other
☐ Radiology Requests		-
☐ Test Requests	Historical Consultations	
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What Happened When The	Bug Occurred	44.115
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